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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George A. O'Toole et al. Art Unit: Not Yet Assigned

Serial No.: 09/673,605 Examiner: Not Yet Assigned

Filed: October 17, 2000 Customer No.: 21559

Title: REGULATION OF BIOFILM FORMATION

Assistant Commissioner For Patents
Washington, DC 20231INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed before the receipt of a first Office action on the merits.

If there are any other charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

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Sheet 1 of 1

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| SUBSTITUTE FORM PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | Attorney Docket No. | 00246/505003 |
| | | | | Serial No. | 09/673,605 |
| | | | | Applicant | George A. O'Toole et al. |
| | | | | Filing Date | October 17, 2000 |
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U.S. PATENTS

| Examiner's Initials | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date (If Appropriate) |
|---------------------|---------------|------------|----------|-------|----------|------------------------------|
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| Examiner's Initials | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation (Yes/No) |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.